

PAG: Estimated Federal Fiscal Year (FFY) 2011 First Quarter Surface Transportation Program (STP)* Federal Funding Ledger (as of 12/31/10)						Surface Transportation Funds (STP)	State Planning and Research/ Metro Planning (SPR/PL)	Apportionments / 2	Obligation Authority (OA) / 3	
Beginning Balance: Carry-Forward from FFY 2010/ 1						3,630,793	350,000	3,980,793	3,980,793	
Date	Item/ Project Number	TRACS #	Project Location	Action / 4	Projected Federal Funds for Current FFY/ 5		STP	SPR/PL	Estimated Federal Funds Cumulative Balance	
					Apportionments	OA			Apportionments	OA
Oct-10	CONTINUING RESOLUTION - FFY 11 STP Allocation (FHWA NOTICE-FY2011-N4510.730)/ 6				3,946,590	2,762,613	2,494,650	267,964	7,927,383	6,743,406
Nov-10	999-A(311)	PPG1102P	PAG Planning (STP/TDOT) FY11	AUTH	(650,000)	(650,000)	(650,000)		7,277,383	6,093,406
Nov-10	999-A(312)	PPG1103P	PAG Planning (STP w/match) FY11	AUTH	(2,100,000)	(2,100,000)	(2,100,000)		5,177,383	3,993,406
Nov-10	000-B(178)	PL10117P	PAG Metro Planning FY11	AUTH	(295,305)	(295,305)		(295,305)	4,882,078	3,698,101
Dec-10	TUC-0(231)	SL42101C	Broadway Blvd Bike/Ped	F/V	59	59	59		4,882,137	3,698,160
Dec-10	FFY 11 STP Allocation (Public Law 111-242)/ 7				0	647,241	584,461	62,780	4,882,137	4,345,401
Dec-10	FFY 11 STP Allocation (FHWA NOTICE-FY2011-N4510.731)/ 8 and 9				0	536,736	484,675	52,061	4,882,137	4,882,137
			TOTAL		901,344	901,345	4,444,638	437,500	4,882,137	4,882,137

**Notes:**

1/ Beginning Balances of Apportionments and OA may not match. See notes 2 and 3.

2/ Apportionments represent the amount of federal funding based on formula. It is not unusual for apportionments to exceed OA, resulting in excess apportionments that may not be obligated. Over the multi-year life of the federal transportation act, apportionments may accumulate but cannot be utilized unless Congress has approved a matching amount of OA. There is no guarantee Congress will provide the necessary OA needed to fully utilize apportionments. See notes 3 and 5.

3/ Obligation Authority is the amount of authorized apportionments which Congress allows states to obligate in an individual year. This is the amount the federal government will reimburse.

4/ Action Abbreviations: AUTH = New Authorization; CONV = Conversion from Advanced Construction; FV = Final Voucher; MPA = Modified Project Agreement.

5/ Due to lack of a long-term program authorization and because OA is being made available by Congress incrementally through multiple Continuing Resolutions, the ratio of OA to Apportionments for FFY 11 is not yet known. However, the OA ratio in FFY 10 was 93% and it is anticipated to be approximately the same in FFY 11.

6/ Pursuant to the Surface Transportation Extension Act of 2010 (Extension Act), title IV of Public Law (Pub. L.) 111-147 and as reflected in FHWA Notice 4510.730, federal-aid highway program apportionments were authorized through December 31, 2010, and OA through December 3, 2010 (64 days). This provided \$3,946,590 in apportionments to PAG and \$2,569,230 in OA (93% of 64/365ths of apportionments). Due to the uncertainty surrounding reauthorization and continuing resolutions providing only partial funding, ADOT credited OA at 100% for this action, in the amount of \$2,762,613, to enable PAG to fully utilize its carried forward apportionments.

7/ Pursuant to Public Law (Pub. L.) 111-242, OA was authorized through December 18, 2010 (79 days). This provided an additional \$601,934 in OA (93% of 79/365ths of apportionments) to PAG. Due to the uncertainty surrounding reauthorization and continuing resolutions providing only partial funding, ADOT again credited OA at 100%, in the amount of \$647,241, to enable PAG to fully utilize its carried forward apportionments.

8/ Pursuant to the Surface Transportation Extension Act of 2010 (Extension Act), title IV of Public Law (Pub. L.) 111-147 and as reflected in FHWA Notice 4510.731, OA was authorized through December 31, 2010 (92 days). This action provided an additional \$499,165 in OA (93% of 92/365ths of apportionments). Due to the uncertainty surrounding reauthorization and continuing resolutions providing only partial funding, ADOT also credited OA at 100% for this action, in the amount of \$536,736, to enable PAG to fully utilize its carried forward apportionments.

9/ As a result of ADOT crediting OA at 100% for each of the authorizations discussed in Notes 6, 7 and 8, PAG has received an additional \$276,261 in OA to date in FFY 11.

\* STP funding is distributed to Arizona's rural COGs and MPOs based on the percent of the state's total population (according to the 2000 Census) which each represents. See [http://www.azdot.gov/Inside\\_ADOT/FMS/PDF/STP%20Greater%20AZ.pdf](http://www.azdot.gov/Inside_ADOT/FMS/PDF/STP%20Greater%20AZ.pdf). If applicable, this figure represents the sum of all sub-allocated funds.